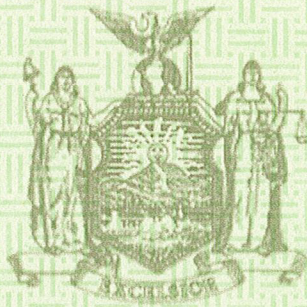


New York State Department of Health

Division of Health Plan Contracting and Oversight

Health Maintenance Organization Certificate of Authority



**Excellus Health Plan, Inc., d/b/a
Upstate HMO and Univera Healthcare HMO
165 Court Street
Rochester, New York 14647**

Has been granted this certificate of authority to operate
Pursuant to Article 44 of the New York State Public Health Law

LIMITATIONS AND CONDITIONS

Issued:
December 1, 1998
Reissued:
March 1, 1999
January 3, 2000
June 1, 2000
September 11, 2000
December 5, 2000
October 1, 2001
January 16, 2002
August 1, 2002
January 1, 2003
November 22, 2004
April 1, 2005
September 14, 2007
November 10, 2008
March 1, 2009
May 15, 2009
July 1, 2010
November 30, 2010
April 25, 2011
April 1, 2012
August 1, 2012
February 1, 2014
April 1, 2014
April 15, 2016
November 7, 2016
January 12, 2018

- The Article 44 service area of Excellus Health Plan, Inc. serving the Commercial population shall be designated by dba as follows:
 - Upstate HMO: Broome, Cayuga, Chemung, Chenango, Clinton, Cortland, Delaware, Essex, Franklin, Fulton, Genesee, Hamilton, Herkimer, Jefferson, Lewis, Livingston, Madison, Monroe, Montgomery, Oneida, Onondaga, Ontario, Orleans, Oswego, Otsego, Schuyler, Seneca, Steuben, St. Lawrence, Tioga, Tompkins, Wayne, Wyoming and Yates Counties.
 - Univera Healthcare HMO: Allegany, Cattaraugus, Chautauqua, Erie, Genesee, Niagara, Orleans and Wyoming Counties.
- Excellus Health Plan, Inc. is approved to serve the Medicaid and Health and Recovery Plan (HARP) eligible Medicaid populations in the counties of Broome, Erie, Herkimer, Livingston, Monroe, Oneida, Ontario, Orleans, Otsego, Seneca, Wayne, and Yates. The provision of health care services in these counties is contingent upon execution of the Medicaid contract with New York State.
 - Excellus Health Plan, Inc. is approved to serve the Child Health Plus population in the counties of Broome, Cayuga, Chemung, Chenango, Clinton, Cortland, Delaware, Erie, Essex, Franklin, Fulton, Hamilton, Herkimer, Jefferson, Lewis, Livingston, Madison, Monroe, Montgomery, Oneida, Onondaga, Ontario, Orleans, Oswego, Otsego, Schuyler, Seneca, Steuben, St. Lawrence, Tioga, Tompkins, Wayne and Yates. The provision of health care services in these counties is contingent upon execution of the Child Health Plus contract with New York State.
- Excellus Health Plan, Inc. is approved to serve Medicare Advantage eligible populations in the counties of Allegany, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Cortland, Delaware, Erie, Essex, Franklin, Fulton, Genesee, Hamilton, Herkimer, Jefferson, Lewis, Livingston, Madison, Monroe, Montgomery, Niagara, Oneida, Onondaga, Ontario, Orleans, Oswego, Otsego, Schuyler, Seneca, Steuben, St. Lawrence, Tioga, Tompkins, Wayne, Wyoming and Yates. Such designation is based upon the understanding that Excellus Health Plan Inc. will operate in accordance with all applicable State and Federal requirements. A comprehensive review of the plan's policies and procedures associated with the operation in the Medicare Advantage Program was not conducted by the Department. All aspects of operation in the Medicare only counties will be governed primarily by the Centers for Medicare and Medicaid Services (CMS), and implementation is contingent upon securing a Medicare contract with the Federal government.

Jonathan Bick, Director
Division of Health Plan Contracting and Oversight